



S/N 09/936,968

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	NARUMI et al.	Examiner:	Unknown
Serial No.:	09/936,968	Group Art Unit:	Unknown
Filed:	September 18, 2001	Docket No.:	10873.797USWO
Title:	METHOD FOR OPTICALLY RECORDING INFORMATION AND DEVICE FOR OPTICALLY RECORDING INFORMATION BY THE SAME		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 12, 2001.

By: 

Kristen Smith

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Applicant hereby brings attention to the Examiner that the references listed were cited in an International Search Report dated June 27, 2000. A copy of the International Search Report is enclosed.

This statement should be considered because it is submitted within three months of the date of entry of the national stage in an international application (37 C.F.R. § 1.491).

Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the International Search Report.

Since the International Search Report is in English, no English translation of the listed foreign references are required, pursuant to MPEP §609(A)3, however the Applicant notes that

5,490,126 is a corresponding U.S. application to Japanese patent no. 2679596; and 5,841,747 is a corresponding U.S. application to Japanese patent no. 9-63056; 5,513,165 is a corresponding U.S. application to Japanese patent no. 6-195713.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

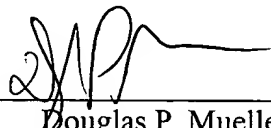
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Dated: November 12, 2001

By 

Douglas P. Mueller
Reg. No. 30,300

DPM/kas



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OPTICALLY RECORDING INFORMATION BY THE SAME

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 12, 2001.

By: 

Kristen Smith

Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 14 Reference(s)
- ☒ Other: International Search Report for PCT/JP00/01589
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: 

Name: Douglas P. Mueller
Reg. No.: 30,300
DPMUELLER/kas



FORM 1449*

INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

10873.797USWO

Application Number:

09/936,968

Applicant: NARUMI et al.

Filing Date: September 18,
2001

Group Art Unit: Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,490,126	February 6, 1996	FURUMIYA et al.			
	5,513,165	April 30, 1996	IDE et al.			
	5,841,747	November 24, 1998	KUBOTA et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 477 892	September 25, 1991	EPO				
	0 751 509	June 26, 1996	EPO				
	0 802 531	April 14, 1997	EPO				
	0 865 035	March 4, 1998	EPO				
	6-195713	July 15, 1994	Japan				
	6-236553	August 23, 1994	Japan			Abstract	
	9-63056	March 7, 1997	Japan				
	9-167347	June 24, 1997	Japan			Abstract	
	2679596	August 1, 1997	Japan				
	11-7645	January 12, 1999	Japan			Abstract	
	2000-40231	February 8, 2000	Japan			Abstract	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation and not considered. Include copy of this form for next communication to the Applicant.



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PATENT TRADEMARK OFFICE